# Theory and Algorithms for Safe and Resilient Multi-Agents Systems

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Joint work with

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**Multi-Agent Planning and Control** Ground, marine, aerial, space vehicles

Safety and Resilience under Uncertainty Towards advancing autonomy

**Nonlinear Control and Estimation** Robust control, estimation and learning











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## Safety and Resilience Architecture





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## Outline

- Resilient Multi-Agent Networks
  - Information Reconstruction
  - Formation Control
- Safety Control under Spatiotemporal Constraints
  - Finite-Time Stability (FTS) and Fixed-Time Stability (FxTS)
  - Fixed-Time Control Lyapunov Functions
    - QP approach
    - CLF approach (WeB18.5)
- Future Research



## **Earlier Resilience Results**



- Network as a digraph  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$   $\mathcal{V} = \{1, \dots, n\}$   $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$
- Up to F-local adversaries
  - x Share malicious information and/or do not play consensus
- **General Resilient Communication Graphs** 
  - r-robustness and (r,s)-robustness
- □ Resilient Filtering: W-MSR algorithm
- Principle: Each agent
  - sorts received information
  - filters out the F highest and F lowest values
- Consensus if the network is
  - (2F+1)-robust or (F+1,F+1)-robust
- Challenges:
- Checking r-robustness and (r,s)-robustness is NP-hard
- Consensus to arbitrary reference values is not guaranteed

#### Definition 1

A set  $S \subset \mathcal{V}$  is *r*-reachable  $(r \in \mathbb{Z}_{\geq 0})$  if  $\exists i \in S$  such that  $|\mathcal{V}_i \setminus S| \geq r$ 

#### **Definition 2**

A digraph  $\mathcal{G}$  is *r*-robust if for all nonempty, disjoint  $S_1, S_2 \subset \mathcal{V}$ , at least one subset is *r*-reachable.





## **Our Resilience Results**

- [1]: *k*-circulant graphs have r-robustness and (r,s)-robustness as functions of *k* 
  - Resilient, scalable network topologies [CDC17]
- [2]: Resilient consensus to **arbitrary** reference values in timeinvariant and time-varying graphs
  - Resilient Leader-Follower consensus [ACC18]

#### [3]: Resilient formation control

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- In finite time under bounded control inputs [CDC18]
- [4]: Graph r-robustness and (r,s)-robustness as a MILP
  - More efficient than state-of-the-art methods [ACC19]
  - Approximate lower bounds of r- and (r,s)-robustness
- [5]: Resilient Barriers for Undirected Networks
- J. Usevitch et. al. (Journal versions: [5], [6], [7])





## **Resilient Formations: Problem Statement**



- Time invariant digraph  $\mathcal{D} = (\mathcal{V}, \mathcal{E})$ ,  $\mathcal{V} = \{1, \dots, n\}$
- Agent states  $oldsymbol{p}_i \in \mathbb{R}^n, i \in \mathcal{V}$
- $\boldsymbol{\xi}_i \in \mathbb{R}^n \ \forall i \in \mathcal{V}$ : Formation vectors (target locations)
- $\boldsymbol{ au}_i = \boldsymbol{p}_i(t) \boldsymbol{\xi}_i \; orall i \in \mathcal{V}$  : Center of formation

- How can the formation be achieved in the presence of misbehaving agents?
- What are the communication topologies and information filters that ensure resilient consensus?





## **Resilient Formations: Communication Topology**



#### Definition 1 (Resilient Directed Acyclic Graph (RDAG))

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Digraph  $\mathcal{D} = (\mathcal{V}, \mathcal{E})$  is RDAG with parameter  $r \in \mathbb{N}$  if all of the following properties hold:

- **1** There exists partitioning of  $\mathcal{V}$  into  $\mathcal{S}_0, \ldots, \mathcal{S}_m \subset \mathcal{V}, \ m \in \mathbb{Z}$  such that  $|\mathcal{S}_{\dot{\mathbf{0}}}| \geq r$
- **2** For each  $i \in S_j$ ,  $1 \le j \le m$ ,  $\mathcal{V}_i \subseteq \bigcup_{k=0}^{j-1} S_k$
- 3 For each  $i \in S_j, \ 1 \le j \le m$ ,  $|\mathcal{V}_i| \ge r$

- The size of the layer S<sub>0</sub> is at least r
- 2) In-neighbors are only from layers above
- 3) Each agent has at least r in-neighbors





## **Resilient Formations: Finite-Time Controller**



Closed loop system:

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$$\begin{aligned} \dot{\boldsymbol{\tau}}_i &= \boldsymbol{u}_i, \\ \boldsymbol{u}_i(t) &= \gamma_i(t) \sum_{j \in \mathcal{R}_i(t)} w_{ij}(t) (\boldsymbol{\tau}_j - \boldsymbol{\tau}_i) \| \boldsymbol{\tau}_j - \boldsymbol{\tau}_i \|^{\alpha - 1}, \ 0 < \alpha < 1 \end{aligned}$$

where

- $\gamma_i(t) = \frac{\sigma_i(t)}{\|u_i^p\|}$
- Saturation function:

$$\sigma_i(t) = \min\{\|\boldsymbol{u}_i^p(t)\|, u_M\},\$$
$$\boldsymbol{u}_i^p(t) = \sum_{j \in \mathcal{R}_i(t)} w_{ij}(t) (\boldsymbol{\tau}_j(t) - \boldsymbol{\tau}_i(t)) \|\boldsymbol{\tau}_j - \boldsymbol{\tau}_i\|^{\alpha - 1}, \ 0 < \alpha < 1$$

• Input satisfies bounds  $\|oldsymbol{u}_i\| \leq u_M \; orall i \in \mathcal{V}$ 

#### Theorem 2

Consider a digraph  $\mathcal{D}$  which is an RDAG with parameter 3F + 1, where  $\mathcal{S}_0 = \mathcal{L}$  and  $\mathcal{A}$  is an F-local set. Under the proposed closed loop dynamics,  $\tau_i$  will converge to  $\tau_L$  in finite time for all normal agents  $i \in \mathcal{N}$ .



RDAG of 80 agents r = 16 F = 5 local model m = 5 sublevels

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## **Resilient Formations: System Architecture**



#### Leaders:

- Determine trajectory for center of formation (COF)
- Encode COF trajectory into unique parameters
- Resiliently transmit parameters to outneighbors

#### Followers:

- Receive and accept parameters only if resilience criteria satisfied
- Reconstruct unique trajectory of COF
- Add local formation offset to obtain local desired trajectory
- Track local trajectory

## **Resilient Formations: Information Propagation**

## Multi-Source Resilient Propagation Algorithm [8]

• RDAG with parameter (2F+1)

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- F-local misbehaving agent model
- Including misbehaving leaders
- S<sub>0</sub> layer comprises of leaders only
- Example: RDAG with r=3





## **Resilient Formations: Information Propagation**

### **Multi-Source Resilient Propagation Algorithm [8]**

• Leaders transmit message to out-neighbors





#### **Multi-Source Resilient Propagation Algorithm [8]**

- Leaders transmit message to out-neighbors
- Followers accept message if identically received from at least (F+1) in-neighbors



## **Resilient Formations: Information Propagation**

#### **Multi-Source Resilient Propagation Algorithm [8]**

• Leaders transmit message to out-neighbors

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- Followers accept message if identically received from at least (F+1) in-neighbors
- Accepted messages by followers transmitted to their out-neighbors, and so on





## **Experimental Results**



## Safety and Resilience Architecture





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## Spatiotemporal Control Synthesis: Overview





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Safety (set invariance)
 State trajectories must remain in a safe set

• Performance (set attractivity)

State trajectories must reach desired sets within **specified** time intervals

#### **Spatiotemporal Control: Approach**

Synthesis tools: Quadratic Programs (QPs) for FTS/FxTS/PTS **[9, 10]** 

Modified Sontag's Formula for PTS (ACC20 Paper WeB18.5) [11]

- Analysis tools: FTS of Switched/Hybrid Systems [12]
- K. Garg, E. Arabi, and D. Panagou

## Spatiotemporal Control Synthesis via QP

Let  $\dot{x} = f(x) + g(x)u$  where  $x \in \mathbb{R}^n, u \in U \subset \mathbb{R}^m$ 

Assume that:

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- There exists a safe set S<sub>s</sub> = {x ∈ ℝ<sup>n</sup> | h(x) ≤ 0} where h(x) is continuously differentiable
- There exist sets  $S_i = \{x \in \mathbb{R}^n \mid h_i(x) \le 0\}, i \in \{0, 1, \dots, N\}$ where  $h_i(x)$  are continuously differentiable
- $S_s \cap S_0 \neq \emptyset, S_i \cap S_{i+1} \neq \emptyset$ , for  $0 \le i \le N-1$
- There exist time intervals  $[t_i, t_{i+1})$  such that  $t_{i+1} t_i \ge \overline{T}$



#### Problem statement (Problem 1)

Find a control input  $u(t) \in U = \{A_u u \leq b_u\}$  such that for  $x(0) \in S_s \cap S_0$ ,

• 
$$x(t) \in S_s$$
,  $\forall t \ge 0$ ,

• 
$$x(t) \in S_i$$
,  $\forall t \in [t_i, t_{i+1})$ 

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## **Finite-Time and Fixed-Time Stability**





Finite-time Stability (FTS) (Bhat and Bernstein, 2000)

**Theorem 1.** Suppose there exists a positive definite function V for system (1) such that

 $\dot{V}(x) \le -cV(x)^{\beta},$ 

with c > 0 and  $0 < \beta < 1$ . Then, the origin of (1) is FTS with settling time function

 $T(x(0)) \le \frac{V(x(0))^{1-\beta}}{c(1-\beta)}.$ 

Fixed-time Stability (FxTS) (Polyakov, 2012)

**Theorem 1** ([2]). Suppose there exists a positive definite function V for system (1) such that

 $\dot{V}(x) \le -aV(x)^p - bV(x)^q$ 

with a, b > 0, 0 and <math>q > 1. Then, the origin of (1) is FxTS with continuous settling time T that satisfies

 $T \le \frac{1}{a(1-p)} + \frac{1}{b(q-1)}.$ 

Prescribed-time Stability (PTS)

```
Time of
convergence T can
be chosen
arbitrarily by the
user.
Also called
predetermined or
predefined.
```



## **Control Barrier Functions**

Reciprocal Control Barrier Functions (Ames et al, TAC 2017)

Definition: Let  $\dot{x} = f(x) + g(x)u$ , where f(x), g(x) are locally Lipschitz  $x \in \mathbb{R}^n, u \in U \subset \mathbb{R}^m$ 

A continuously differentiable function  $B : Int(\mathcal{C}) \to \mathbb{R}$  is called a Reciprocal Control Barrier Function (RCBF) for the set C if there exist class K functions  $\alpha_1, \alpha_2, \alpha_3$  such that for all  $x \in Int(\mathcal{C})$ 

$$\frac{1}{\alpha_1(h(x))} \le B(x) \le \frac{1}{\alpha_2(h(x))}$$
$$\inf_{u \in U} [L_f B(x) + L_g B(x)u - a_3(h(x))] \le 0$$

Let the set  $K_{rcbf}(x) = \{u \in U : L_f B(x) + L_g B(x)u - a_3(h(x)) \le 0\}$ Then any locally Lipschitz  $u : \text{Int}(\mathcal{C}) \to U$  such that  $u(x) \in K_{rcbf}(x)$ will render Int(C) a forward invariant set.



## **CLF-CBF QPs**



$$\mathbf{u}^{\star}(x) = \arg\min_{\mathbf{u}=(u,\delta)\in\mathbb{R}^m\times\mathbb{R}}\frac{1}{2}\mathbf{u}^T H(x)\mathbf{u} + F(x)^T\mathbf{u}$$

s.t. 
$$\begin{split} L_f V(x) + L_g V(x) u + c_3 V(x) - \delta &\leq 0 \\ L_f B(x) + L_g B(x) u - \alpha(h(x)) &\leq 0 \end{split}$$

**Theorem** [Ames et al, TAC 2017]:

Suppose that:

the vector fields f and g of the control system,

the gradients of the RCBF B and CLF V,

the cost function terms H(x) and F(x) in (CLF-CBF QP)

are all locally Lipschitz. Suppose furthermore that

 $L_g B(x) = 0$  for all  $x \in \text{Int}(C)$ .

Then the solution,  $\mathbf{u}^*(x)$ , of (CLF-CBF QP) is locally Lipschitz continuous for  $x \in \text{Int}(C)$ . Moreover, a closed-form expression can be given for  $\mathbf{u}^*(x)$ .



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## Let $\dot{x} = f(x) + g(x)u$ where $x \in \mathbb{R}^n, u \in U \subset \mathbb{R}^m$

**Definition:** The continuously differentiable function  $V : \mathbb{R}^n \to \mathbb{R}$  is called a **Fixed-Time Control Lyapunov Function** wrt a set *S* (FxT-CLF-*S*) of the system with parameters  $a_1, a_2, b_1, b_2$  if

i) It is positive definite wrt a closed set S, i.e.,

$$V(x) > 0 \text{ for } x \notin S$$
$$V(x) = 0 \text{ for } x \in \partial S$$

ii)  $\inf_{u} [L_f V(x) + L_g V(x)u] \le -a_1 (V(x))^{b_1} - a_2 (V(x))^{b_2}, \ \forall x \notin \text{Int}(S)$ 

where  $a_1, a_2 > 0$ ,  $b_1 > 1$ ,  $0 < b_2 < 1$  satisfy  $\frac{1}{a_1(b_1 - 1)} + \frac{1}{a_2(1 - b_2)} \le \overline{T}$ with  $\overline{T}$  being a user-defined time.

## **FxT-CLF-CBF for Spatiotemporal Control**



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If there exist 
$$a_{i1}, a_{i2}, \lambda, \lambda_i > 0$$
,  $b_{i1} > 1, 0 < b_{i2} < 1$  and control input  $u$  such that  
 $\overline{T} \ge \max_{i \in \Sigma} \left\{ \frac{1}{a_{i1}(b_{i1} - 1)} + \frac{1}{a_{i2}(1 - b_{i1})} \right\}$  (C<sub>0</sub>)  
 $\inf_{u \in U} \{L_f h + L_g h u + \lambda h\} \le 0$  (C<sub>1</sub>)  
 $\inf_{u \in U} \{L_f h_i + L_g h_i u + \lambda_i h_i\} \le 0$  (C<sub>2</sub>)  
 $\inf_{u \in U} \{L_f h_{i+1} + L_g h_{i+1} u\} \le -a_{i1} \max\{0, h_{i+1}\}^{b_{i1}} - a_{i2} \max\{0, h_{i+1}\}^{b_{i2}}$  (C<sub>3</sub>)  
hold for  $t \in [t_i, t_{i+1})$ , then, the control input  $u(t)$  solves Problem 1.

- $C_0$  ensures exact convergence before  $t = t_{i+1}$  (FxTS for settling time  $\overline{T}$ )
- $C_1$  results into  $h(x) = 0 \Rightarrow \dot{h}(x) \le 0 \Rightarrow$  forward invariance of set  $S_s$
- $C_2$  results into  $h_i(x) = 0 \Rightarrow \dot{h}_i(x) \le 0 \Rightarrow$  forward invariance of set  $S_i$
- $C_3$  results into  $\dot{h}_{i+1} \leq -a_{i1}h_{i+1}^{b_{i1}} a_{i2}h_{i+1}^{b_{i2}} \Rightarrow FxTS$  to set  $S_{i+1}$
- $C_3$  also results into forward invariance of  $S_{i+1}$  once  $x(t) \in S_{i+1}$



## **FxT-CLF-CBF for Spatiotemporal Control**



A Quadratic Program (QP) to solve Problem 1

## Theorem [9]

Let the solution to the following QP defined for  $t \in [t_i, t_{i+1})$ :

$$\min_{v,a_{i1},a_{i2},\lambda_i,\delta}\frac{1}{2}v^2$$

$$\begin{split} s.t. \ L_f h_i + L_g h_i v + \lambda_i h_i &\leq 0, \\ L_f h_{i+1} + L_g h_{i+1} v &\leq \delta h_{i+1} - a_{i1} \max\{0, h_{i+1}\}^{b_{i1}} - a_{i2} \max\{0, h_{i+1}\}^{b_{i2}}, \\ A_u v &\leq b_u, \\ \frac{2}{\overline{T}} &\leq a_{i1} (b_{i1} - 1) \leq a_{i2} (1 - b_{i2}), \end{split}$$

be denoted as  $[\overline{v}_i(t), a_{i1}, a_{i2}, \lambda_h, \lambda_i]$ . Then,  $u(t) = \overline{v}_i(t)$  for  $t \in [t_i, t_{i+1})$  solves Problem 1.



## **Robust Fixed-Time Stability**



#### Theorem (Robust FxTS Theorem)

Let  $V : \mathbb{R}^n \to \mathbb{R}$  be a  $\mathcal{C}^1$ , positive definite function, satisfying

$$\dot{V} \le -c_1 V^{a_1} - c_2 V^{a_2} + c_3 V,$$

with  $c_1, c_2 > 0$ ,  $a_1 = 1 + \frac{1}{\mu}$ ,  $a_2 = 1 - \frac{1}{\mu}$  for some  $\mu > 1$ , along the system trajectories. Then, there exists  $D \subset \mathbb{R}^n$  such that for all  $x(0) \in D$ , the system trajectories reach the origin in a fixed time T. Furthermore, if  $c_3 < 2\sqrt{c_1c_2}$ , and V is radially unbounded, then  $D = \mathbb{R}^n$ .

- Relaxation of condition  $\dot{V} \leq -c_1 V^{a_1} c_2 V^{a_2}$
- Robustness w.r.t. additive vanishing disturbance if origin of *nominal* system is FxTS
- Helps guarantee feasibility of QP



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## **FxT-CLF-CBF for Spatiotemporal Control**



Consider the following optimization problem:

$$\delta_1, \delta_2$$
 - slack terms

Control input constraint PT-CLF condition for  $S_g$ ZCBF condition for  $S_s$ 

where 
$$p_1, p_2 > 0, \gamma_1 = 1 + \frac{1}{\mu}$$
 and  $\gamma_2 = 1 - \frac{1}{\mu}$  with  $\mu > 1, \ \alpha_1 = \alpha_2 = \frac{\mu \pi}{2\bar{T}}$ 

- Slack terms  $\delta_1, \delta_2 \rightarrow$  feasibility for all x
- $\delta_1$  dictates region of convergence
- Convergence time  $\leq \bar{T}$

K. Garg, E. Arabi, D. Panagou "*Fixed-time control under spatiotemporal and input constraints: A QP based approach*," submitted to IEEE TAC, under revision.





**Theorem 5.** Let Assumption 3 hold. If the solution of (10), given as  $(v^*(\cdot), \delta_1^*(\cdot), \delta_2^*(\cdot))$ , satisfies

$$\delta_1^*(x) < 2\sqrt{\alpha_1 \alpha_2}, \quad \forall \ x \in S_S, \tag{11}$$

then, for all  $x(0) \in S_S$ , the closed-loop trajectories x(t) under  $u(\cdot) = v^*(\cdot)$  reach the set  $S_G$  in a fixed time, while satisfying safety requirement, i.e.,  $x(t) \in S_S$  for all  $t \ge 0$ . If (11) does not hold, then there exists  $D \subset S_S$  such that for all  $x(0) \in D$ , the closed-loop trajectories satisfy  $x(t) \in S_S$  for all  $t \ge 0$  and reach the goal set  $S_G$  within a fixed time.

Assumption 3: The strict complementary slackness holds.

K. Garg, E. Arabi, D. Panagou "*Fixed-time control under spatiotemporal and input constraints: A QP based approach,*" submitted to IEEE TAC, under revision.

## **Example: STL Mission Synthesis**



## Simulation Results

System Dynamics:

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 $\dot{x_i} = u_i$ 

Objective:

$$\begin{array}{rcl} (x_1,t) \vDash & G_{[0,T_4]}\phi_s \wedge F_{[0,T_1]}\phi_2 \wedge F_{[T_1,T_2]}\phi_3 \wedge F_{[T_2,T_3]}\phi_4 \wedge F_{[T_3,T_4]}\phi_1 \\ (x_2,t) \vDash & G_{[0,T_4]}\phi_s \wedge F_{[0,T_1]}\phi_2 \wedge F_{[T_1,T_2]}\phi_1 \wedge F_{[T_2,T_3]}\phi_4 \wedge F_{[T_3,T_4]}\phi_3 \end{array}$$

Equivalently,

•  $x_1(t), x_2(t) \in S_s = \{x_i(t) | ||x_i||_{\infty} \le 2, ||x_i||_2 \ge 1.5\}$  for all  $t \ge 0$ ,

and maintain a minimum separation  $d_m$  at all times

On or before a given T<sub>1</sub> satisfying 0 < T<sub>1</sub> < ∞, agent 1 and 2 should reach the square C<sub>2</sub> and so on







## **Example: STL Mission Synthesis**



## Simulation Results





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- **Problem 1.** Find a control input  $u_i(t) \in \mathcal{U}_i = \{v \in \mathbb{R}^m; | u_{i,min_j} \leq v_j \leq u_{i,max_j}, j = 1, 2, ..., m\}, t \geq 0$ , such that for all  $x_i(0) \in S_{S_i}$ ,
  - $x_i(\bar{T}) \in S_{G_i}$  for some user-defined  $\bar{T} > 0$ , for all  $i = 1, 2, \ldots, N$ ;
  - $||x_i(t) x_j(t)|| \ge d_s$ , for all  $t \ge 0$ , for all  $i \ne j$ , where  $d_s > 0$  is a user-defined safety distance;
  - $x_i(t) \in S_{S_i}$ , for all  $t \ge 0$ , for all i = 1, 2, ..., N.







• CBF condition for set invariance

$$\sum_{i=1}^{N} \left( \frac{\partial h(\vec{x})}{\partial x_i} f_i(x_i) + \frac{\partial h(\vec{x})}{\partial x_i} g_i(x_i) u_i \right) \ge -\alpha(h(\vec{x}))$$

 $\alpha$ : any locally Lipschitz extended class- $\mathcal{K}_{\infty}$  function

• Worst-case **adversarial** agents:

$$u_k^{\inf}(t) = \underset{u_k \in \mathcal{U}_k}{\operatorname{arg inf}} \left[ \frac{\partial h(\vec{x})}{\partial x_k} \left( f_k(x_k) + g_k(x_k) u_k \right) \right]$$

• Intent: drive  $h(\vec{x})$  to negative value (violate set invariance)

• Best-case control action for **normal** agents:

$$u_i^{\sup}(t) = \underset{u_i \in \mathcal{U}_i}{\operatorname{arg\,sup}} \left[ \frac{\partial h(\vec{x})}{\partial x_i} \left( f_i(x_i) + g_i(x_i) u_i \right) \right]$$

• Intent: drive  $h(\vec{x})$  to positive value (preserve set invariance)

$$\sum_{i \in \mathcal{V} \setminus \mathcal{A}} \sup_{u_i \in \mathcal{U}_i} \left[ \frac{\partial h(\vec{x})}{\partial x_i} \left( f_i(x_i) + g_i(x_i) u_i \right) \right] + \sum_{k \in \mathcal{A}} \inf_{u_k \in \mathcal{U}_k} \left[ \frac{\partial h(\vec{x})}{\partial x_k} \left( f_k(x_k) + g_k(x_k) u_k \right) \right] \ge -\alpha \left( h(\vec{x}) \right)$$

$$35$$









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# Thank you!

# Questions?

